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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/656,973	09/05/2003	Meir Rosenberg	022719-0047	8809
21125 7590 09/19/2007 NUTTER MCCLENNEN & FISH LLP WORLD TRADE CENTER WEST 155 SEAPORT BOULEVARD BOSTON, MA 02210-2604			EXAMINER DEAK, LESLIE R	
			ART UNIT 3761	PAPER NUMBER
			NOTIFICATION DATE 09/19/2007	DELIVERY MODE ELECTRONIC

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

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<b>Office Action Summary</b>	<b>Application No.</b> 10/656,973	<b>Applicant(s)</b> ROSENBERG, MEIR	
	<b>Examiner</b> Leslie R. Deak	<b>Art Unit</b> 3761	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --  
**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 26 July 2007.  
 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.  
 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-9 and 13-27 is/are pending in the application.  
     4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.  
 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.  
 6) ☒ Claim(s) 1-9 and 13-27 is/are rejected.  
 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.  
 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.  
 10) ☒ The drawing(s) filed on 05 September 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
     Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
     Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  
 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
     a) ☐ All    b) ☐ Some \* c) ☐ None of:  
         1. ☐ Certified copies of the priority documents have been received.  
         2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.  
         3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).  
     \* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)   | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                       | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

## DETAILED ACTION

### *Claim Rejections - 35 USC § 103*

1. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

2. Claims 1-4, 6, 7, 9, 13-15, and 17-26 are rejected under 35 U.S.C. 103(a) as being unpatentable over US2003/0032915 A1 to Saul in view of US 6,533,733 to Ericson et al.

In the specification and figures, Saul discloses the invention substantially as claimed by applicant. With regard to claims 1, 9, 17, Saul discloses a method and device for volumetric removal of CSF from a hydrocephalus patient with an implantable, controllable shunt system. Saul discloses a ventricular catheter 12 and peritoneal catheter 14 that are connected via a flow control valve 48. The catheters operate to shunt CSF from the brain ventricle to the peritoneal cavity (see paragraph 0034). The system is operated via controller 44 that operates the movement of the valve 48 with power from source 46 based on input from a sensor such as a pressure transducer 40 that is located on the distal end of catheter 12, within the ventricle (see paragraph 0034).

Saul fails to disclose that an external system controller communicates with the shunt and valve system remotely via telemetry. However, Ericson discloses a method and device for monitoring and shunting cerebrospinal fluid that comprises a transmitter

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15 implanted within the patient that communicates with receiving unit 44 of an external telemetry system to enable remote monitoring and control of the implant (see column 3, lines 5-10, 35-38, 65-67). Therefore, it would have been obvious to one having ordinary skill in the art at the time of invention to add an external controller that communicates via telemetry as disclosed by Ericson to the cerebrospinal shunt system disclosed by Saul in order to enable remote monitoring and control, as taught by Ericson.

With regard to claims 2-4, 6, 7, 19-23, Saul discloses that when CSF fluid drainage is being controlled by volume, sensing devices in the shunt (such as pressure sensor 40) send signals to the controller 44, which adjusts the valve between an open and closed position based on the signals sent to the controller from the sensor (see paragraphs 0035-0037). The sensor reports the volume of flow through the valve, and once the desired volume has been reached (which the controller must determine by comparing the measured value to a desired value), the controller sends an electrical control signal to the valve, adjusting the resistance of the valve to open (decreased resistance) or closed (increased resistance) in order to continue or halt fluid flow (see paragraphs 0035-0037).

With regard to claims 13-15, Saul specifically discloses that his apparatus and method are particularly intended for patients who experience hydrocephalus with "normal" intracranial pressures, i.e, normal pressure hydrocephalus (see paragraph 009).

With regard to claim 18, sensor 40 is coupled to controller 44, which is coupled to valve 48, meeting the limitations of the claim.

With regard to claims 24-25, Ericson discloses that the sensors 11 of the shunt may comprise multiple pressure transducers (see column 3, lines 40-43). Therefore, it would have been obvious to one having ordinary skill in the art to provide multiple sensors as disclosed by Ericson, since it has been held that the mere duplication of the essential working parts of a device found in the prior art involves only routine skill in the art. See MPEP 2144.04(VI)(B).

With regard to claim 26, Saul fails to disclose that the valve is configured for implantation in the peritoneal cavity of the patient. Absent any showing of new or unexpected results of such a change in the location of the valve, it would have been obvious to one having ordinary skill in the art at the time the invention was made to place the valve in the peritoneal cavity, since it has been held that rearranging parts of an invention involves only routine skill in the art. See MPEP 2144.04.

3. Claims 5, 8, 16, and 27 are rejected under 35 U.S.C. 103(a) as being unpatentable over US 2003/0032915 A1 to Saul in view of US 6,533,733 to Ericson, further in view of US 2003/0004495 A1 to Saul.

In the specification and figures, Saul '915 and Ericson disclose the method substantially as claimed by applicant with the exception of repeating the resistance adjustment procedure at proscribed time intervals.

Saul '495 discloses a method and device for treating normal pressure hydrocephalus that comprises the steps of sensing a patient parameter, and then adjusting the opening pressure of a shunt valve with a controller based on patient conditions (see paragraphs 0019-0027).

With regard to claims 5, 8, and 16, the procedure disclosed by Saul '495 may be repeated, if desired, a set number of times per day, with the time between treatments set to allow the CSF to drain from a reservoir, allowing the patient to adjust to the current resistance of the valve, until a total desired volume of CSF is removed from the ventricular space, in order to prevent CSF leakage (see paragraph 27).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to repeat the adjustment procedure suggested by Saul '915 and Ericson multiple times, as disclosed by Saul '495, in order to prevent CSF leakage, as taught by Saul '495.

With regard to claim 27, the prior art discloses the device as claimed with the exception of a timed shut-off mechanism. Saul 495 discloses that his device may be controlled by a timer or programmable controller in order to control the valve based on a predetermined time schedule in order to prevent overdrainage of CSF from the patient during a single time period (see paragraph 0027). Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to add an automatic shutoff to the CSF shunt system in order to prevent overdrainage of CSF from a patient during a particular time period.

### ***Response to Arguments***

4. Applicant's arguments filed 26 July 2007 have been fully considered but they are not persuasive.

5. Applicant argues that one of ordinary skill in the art would not have been motivated to combine the Saul '915 reference with the Ericson reference, since the method and apparatus disclosed by Saul continuously monitors intracranial pressure and adjusts the valve accordingly, while Ericson discloses an external controller that is selectively operable to control the shunting system. Examiner respectfully disagrees.

The test for obviousness is not whether the features of a secondary reference may be bodily incorporated into the structure of the primary reference; nor is it that the claimed invention must be expressly suggested in any one or all of the references. Rather, the test is what the combined teachings of the references would have suggested to those of ordinary skill in the art. See *In re Keller*, 642 F.2d 413, 208 USPQ 871 (CCPA 1981).

Saul 915 discloses that the system may be programmed to adjust the valve after a predetermined change in pressure is measured, rather than continuously adjusting the opening pressure, as inferred by applicant. As such, the valve is "energized" as a separate step of the monitoring procedure (see paragraph 0035). Ericson discloses that the system controller 13 continuously receives data from the various sensors located within the device, and may generate an alarm, prompting external control, when certain conditions are met (see column 5, lines 21-48).

Both disclosures teach the steps of using a set of continuously collected data to generate a control step. The only difference is that the control step in Saul is executed internally, while the control step in Ericson is executed via an external device connected via telemetry. The disclosures of the prior art comprise all the elements of the instantly

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claimed invention. One of ordinary skill in the art would reasonably look to these disclosures, combine the devices with methods known in the art to yield a predictable result—a cerebrospinal fluid shunt valve that uses internally collected data, transmitted to an external control device, that allows the external control device to adjust the valve. Applicant has not presented any evidence that his particular combination of elements known in the art yields unpredictable results. Accordingly, it is the position of the Examiner that the instantly claimed invention is unpatentable over the prior art of record, since the combination of the known elements yields only predictable results.

### ***Conclusion***

6. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).


A shortened statutory period for reply to this final action is set to expire **THREE MONTHS** from the mailing date of this action. In the event a first reply is filed within **TWO MONTHS** of the mailing date of this final action and the advisory action is not mailed until after the end of the **THREE-MONTH** shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than **SIX MONTHS** from the mailing date of this final action.



Any inquiry concerning this communication or earlier communications from the examiner should be directed to Leslie R. Deak whose telephone number is 571-272-4943. The examiner can normally be reached on M-F 7:30-5:00, every other Friday off.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tanya Zalukaeva can be reached on 571-272-1115. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

  
Leslie R. Deak  
Patent Examiner  
Art Unit 3761  
6 September 2007